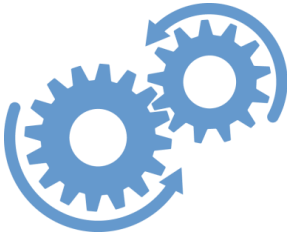
Core Values

TJ Kennedy
President

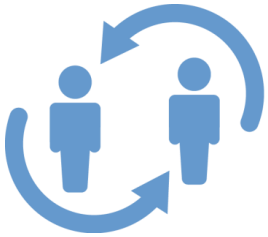
October 1, 2015

FirstNet Core Values

Customer Service



Reliability



Openness

Innovative

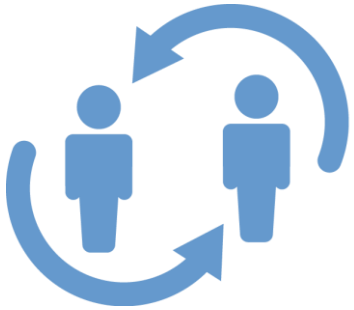
Entrepreneurial

Results Oriented

FirstNet Core Values

Customer Service

- 24/7
- Stakeholder-Driven
- Collaborative and Responsive



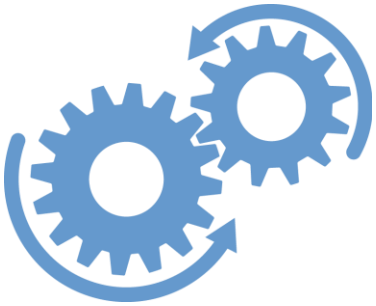
Openness

- Integrity and Transparency
- Two-way Communications
- Information Sharing

FirstNet Core Values

Entrepreneurial

- Start-up Culture
- Faster, More Efficient
- Execute!



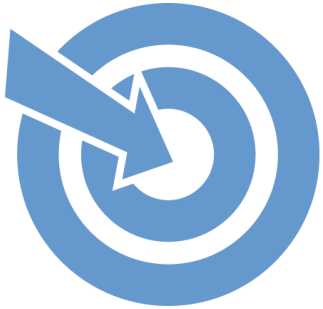
Reliability

- Committed to Mission, Stakeholders
- Accountable, Accessible, Available
- Trusted Environment

FirstNet Core Values

Innovative

- Explore New Ideas, Opportunities
- Pursue Solutions
- Creativity



Results Oriented

- Strategic Program Roadmap
- Performance-Based
- Teamwork

Rules of Conduct

Natasha Coates
Attorney-Advisor

October 1, 2015

The Road to the Rules of Conduct

JAN 2014 — Compliance Committee ('CC') Established

MAR 2014 — CC makes plans to develop several policies including Rules of Conduct

APR 2014 — GAO initiates audit on FirstNet's internal controls

APR 2015 — GAO Report recommends that FirstNet issue Rules of Conduct

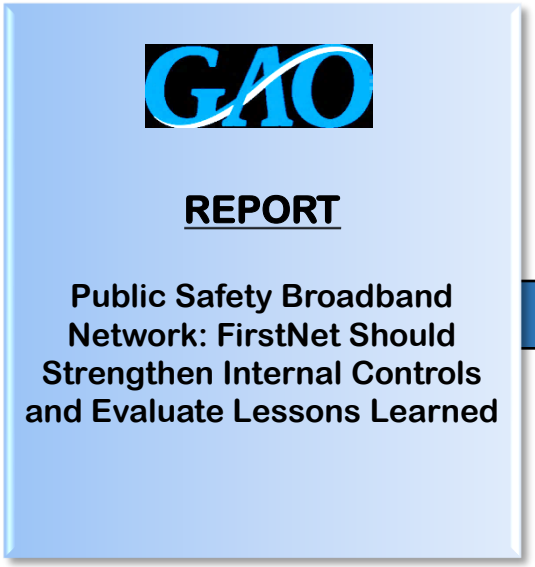
JULY 2015 — CC approves Rules of Conduct

AUG/ SEPT 2015 — Staff is briefed on Rules of Conduct and instructed to sign Certification Form within ten days of receipt

Compliance Committee oversees FirstNet's implementation of its compliance program, policies and procedures, and has approved more than 30 policies

Addresses GAO Recommendation

April 28, 2015



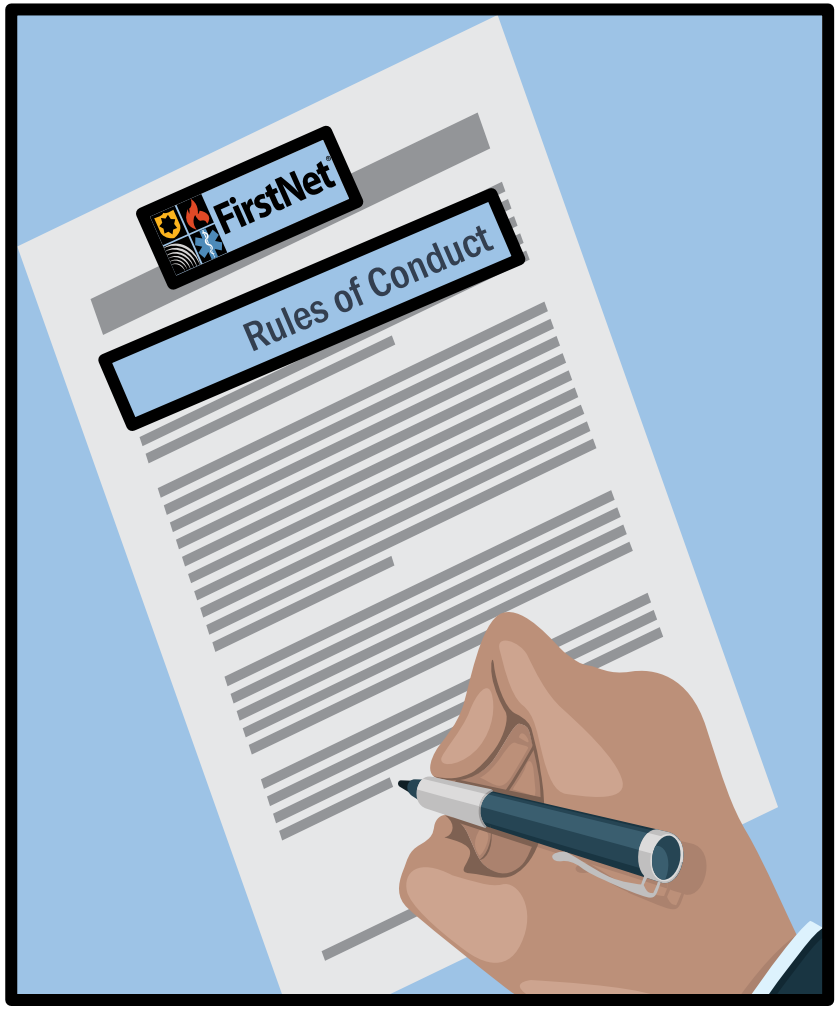
Recommendation

FirstNet should develop "standards of conduct. . .and evaluate performance against these standards"

ESTABLISHING STANDARDS OF CONDUCT STRENGTHENS FIRSTNET'S INTERNAL CONTROLS

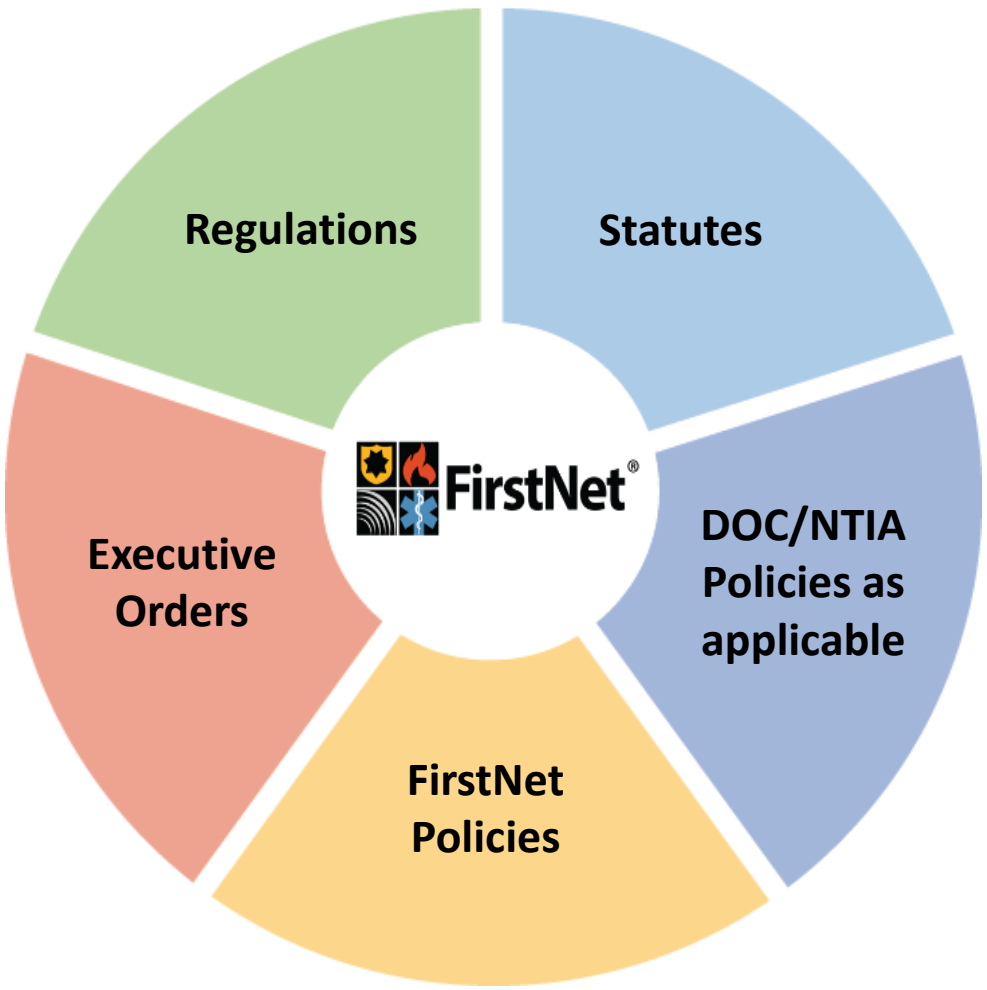
- **Provides an important form of "ethical and behavioral guidance for personnel"**
- **Enables FirstNet to ensure that "its personnel are accountable for their actions"**
- **"Fosters stakeholder trust in FirstNet's ability to meet its statutory responsibilities and be a good steward of public funds"**

Developing FirstNet's Rules of Conduct



- FirstNet had plans to create its own Rules of Conduct
  - GAO's recommendation was in line with this plan
- We developed FirstNet-specific "**Rules of Conduct**" (RoC)
  - Outlines key ethical and behavioral requirements for federal personnel

Rules of Conduct - Applicable Authorities



- RoC explain that federal personnel (including Board members) must operate within the bounds of **all applicable authorities governing ethical and behavioral conduct**
- RoC incorporate such authorities **by reference** including:
  - Federal statutes, regulations, and Executive Orders
  - Applicable DOC/NTIA policies
  - Internal FirstNet policies
- RoC contain **hyperlinks** to several key authorities for easy reference

Rules of Conduct - The Seven Tenets

FirstNet distilled the fundamental requirements into seven basic tenets to facilitate compliance:

Conflict of interest avoidance - we avoid actual or apparent conflicts between our personal interests and the interests of FirstNet

Opportunities for everyone - we operate in an environment that is free of discrimination

Notifying investigative officials when appropriate - we promptly report allegations of fraud, waste, abuse, and other forms of wrongdoing to the appropriate authorities

Disclosure of information - we do not publicly disclose confidential information

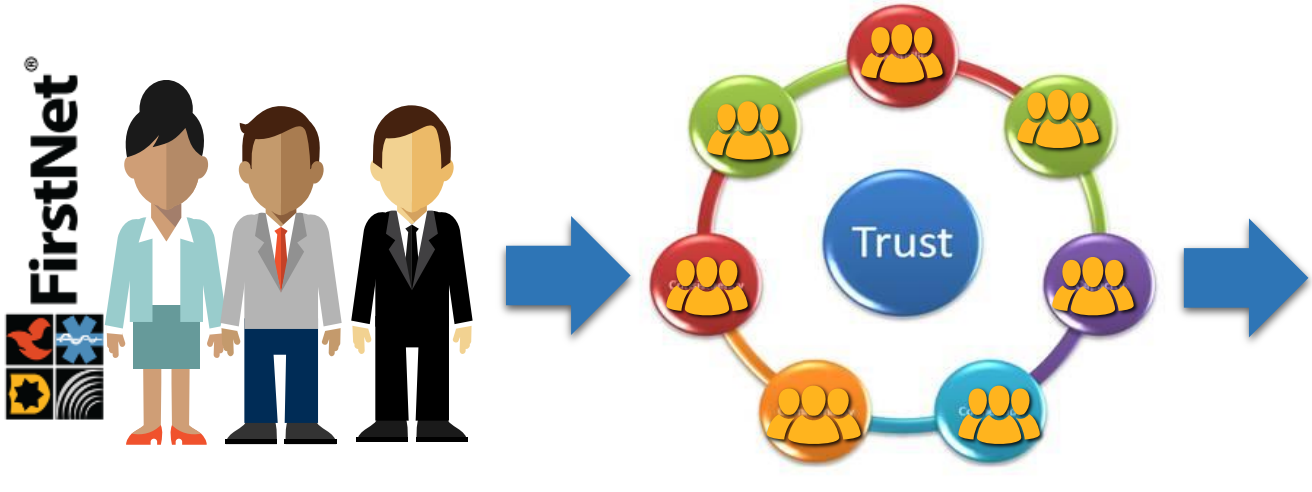
Use of resources - we are responsible stewards of government and environmental resources

Customer service - we are committed to meeting the needs of our internal customers and the public safety community

Teamwork - we work together as a team to fulfill FirstNet's mission

Rules of Conduct – Bottom Line

Adherence to applicable ethical and behavioral requirements is paramount to maintaining the public's trust and ultimately, achieving FirstNet's mission.



Administrative Progress

Frank Freeman III
Chief Administrative Officer

October 1, 2015

FirstNet Staffing

- FirstNet has partnered with the Department of Commerce and NTIA to streamline hiring actions
  - Removed unnecessary approval steps
  - Eliminated redundant review processes
  - Streamlining led to a FY 15 reduction in time from 170 days to 130 days

- CAO HR program manager focused on each hiring action on a daily basis
  - Optimize HR Specialist workload
  - Highlighted choke points

- Obtained additional HR resources
  - Two contractors came onboard in May
  - Established IAA with OPM for fee based hiring service

FY15 Hiring Progress

Status of 62 Critical Positions as Briefed to FirstNet Board in June 2015

Currently in Final Hiring Stage	Currently Advertised	Pre-recruitment Phase
26	15	21

Status of 62 Critical Positions as of 9/21/15

Currently in Final Hiring Stage	Currently Advertised	Pre-recruitment Phase
54	0	0

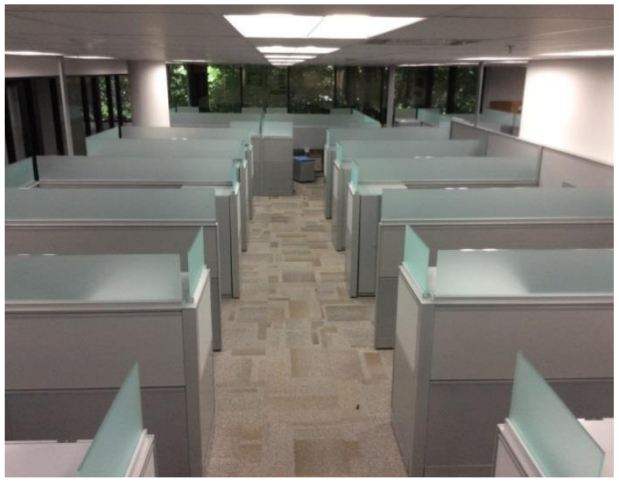
FY16 Hiring Approach

- Start FY 16 with a Q1 review and validation by CEO on projected FY16 staffing approach

- The FY 16 staffing approach will focus on critical positions needed to broaden and expand essential acquisition and consultation activities
  - Hiring leadership and capability needed for new procurement office around the RFP
  - State consultation expertise
  - Regional outreach experience
  - Engineering and technical specialties (applications, devices, standards, core, IT laboratory)
  - Filling out HR staff and capabilities

FirstNet Headquarters Update

- On August 31, FirstNet moved into the new headquarters in Reston
- New, open working space to encourage collaboration amongst staff, including the addition of multiple new conference rooms
- Incorporates the newest communications and technology capabilities
- Built with full VTC capabilities between Reston and Boulder



CIO Update

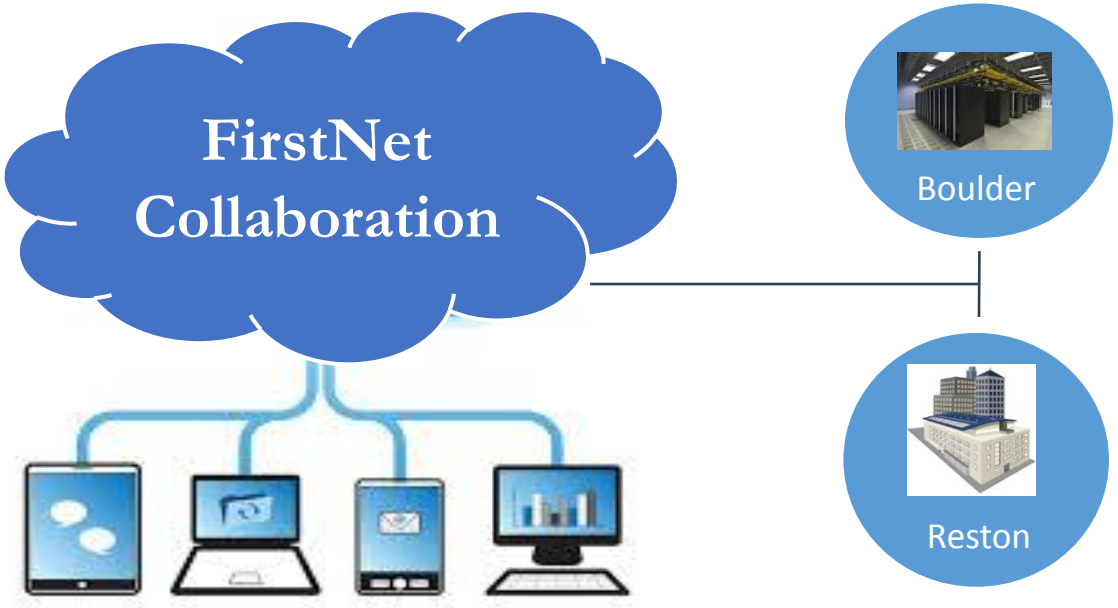
Jim Gwinn
Chief Information Officer

October 1, 2015

CIO – IT Update

Unified Communications

- Integrated on-premises and cloud services
- Wireless Mobile Workforce
- Stability through redundant connectivity
- Effective collaboration without regard to location



Mobility

Office Integration

Video & Audio Conferencing

CIO – IT Update

YESTERDAY	TODAY	TOMORROW
▪ Using DOI network and DOI phones in Reston ▪ Leveraging DSL network access in Boulder ▪ Full utilization of existing Commerce and NTIA IT Infrastructure	▪ Dedicated Network Access in both Reston and Boulder ▪ Failover (Secondary) Network Connections Established in both Reston and Boulder ▪ Utilization of Commerce Long Haul Network ▪ New IT Infrastructure (switches, routers, security) online in Reston, Boulder and DC ▪ Voice-Over-IP Telephony in Reston; ▪ WiFi, VTC, Wireless Presentation Systems in Reston ▪ Partial Leverage of NTIA IT Infrastructure (Office Products, email ▪ Initial CRM Installation	▪ Dedicated Network Access with Full Failover Capability in Reston & Boulder ▪ Voice-Over-IP in Boulder; ▪ VTC and Wireless Presentation Systems in Boulder ▪ Full Utilization of FirstNet IT Infrastructure ▪ Full CRM Utilization ▪ Office 365 email and Office Suite (Cloud-based)

Finance Update

Kim Farington
Chief Financial Officer

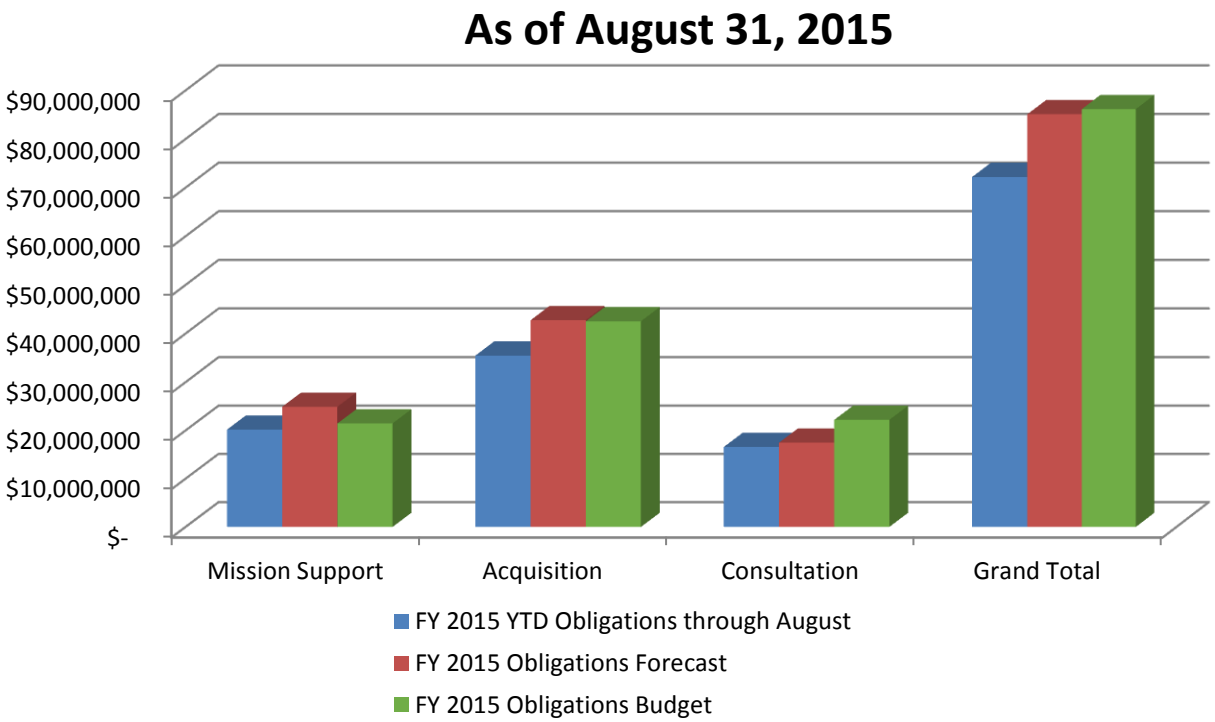
October 1, 2015

Table of Contents

- FY15 Budget Review
- FY15 Milestones Review
- FY16 Budget Overview
- FY16 Milestones Overview
- Strategic Plan Update

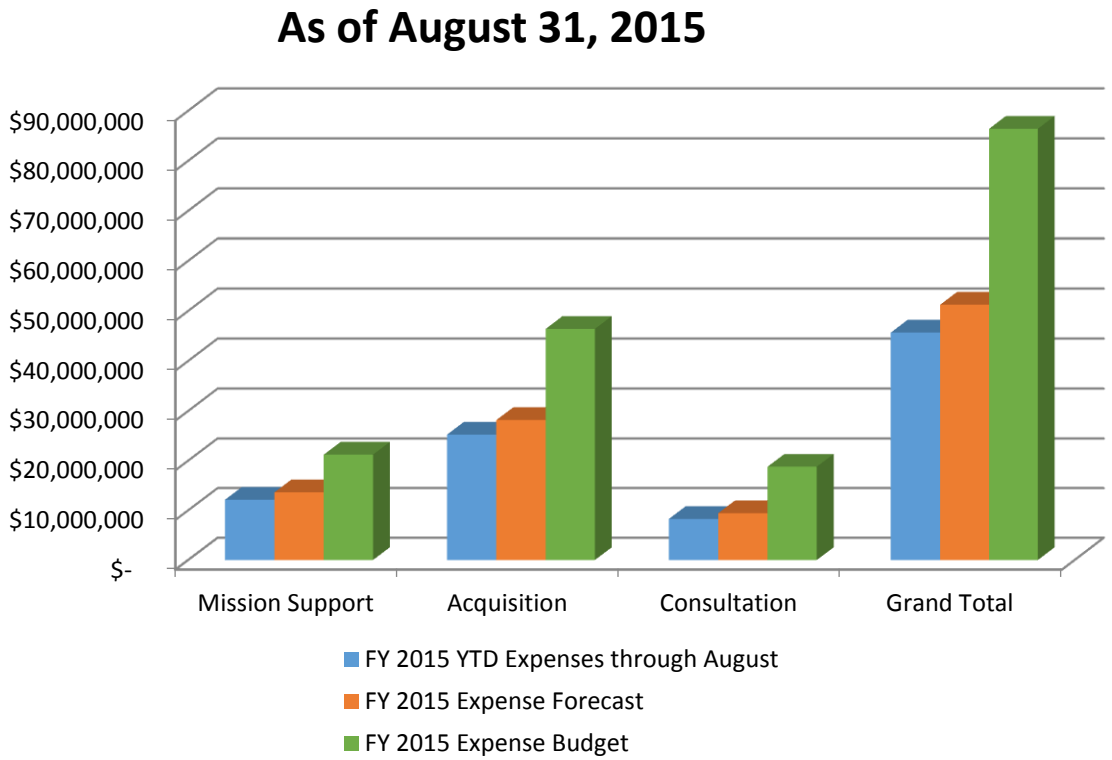
FY15 Budget Obligations

- **As of August 31st, our total obligations to date are 85% compared to planned**
- **Fiscal year end projections track for us to obligate 100% of our total obligations budget**



As of August 31, 2015	Acquisition Total	Consultation Total	Mission Support Total	Grand Total
Obligations				
FY 2015 YTD Obligations through August	$ 20,165,912	$ 35,460,272	$ 16,562,964	$ 72,189,148
FY 2015 Obligations Forecast	$ 24,903,108	$ 42,733,080	$ 17,446,756	$ 85,082,944
FY 2015 Obligations Budget	$ 21,444,735	$ 42,504,633	$ 22,173,376	$ 86,122,744

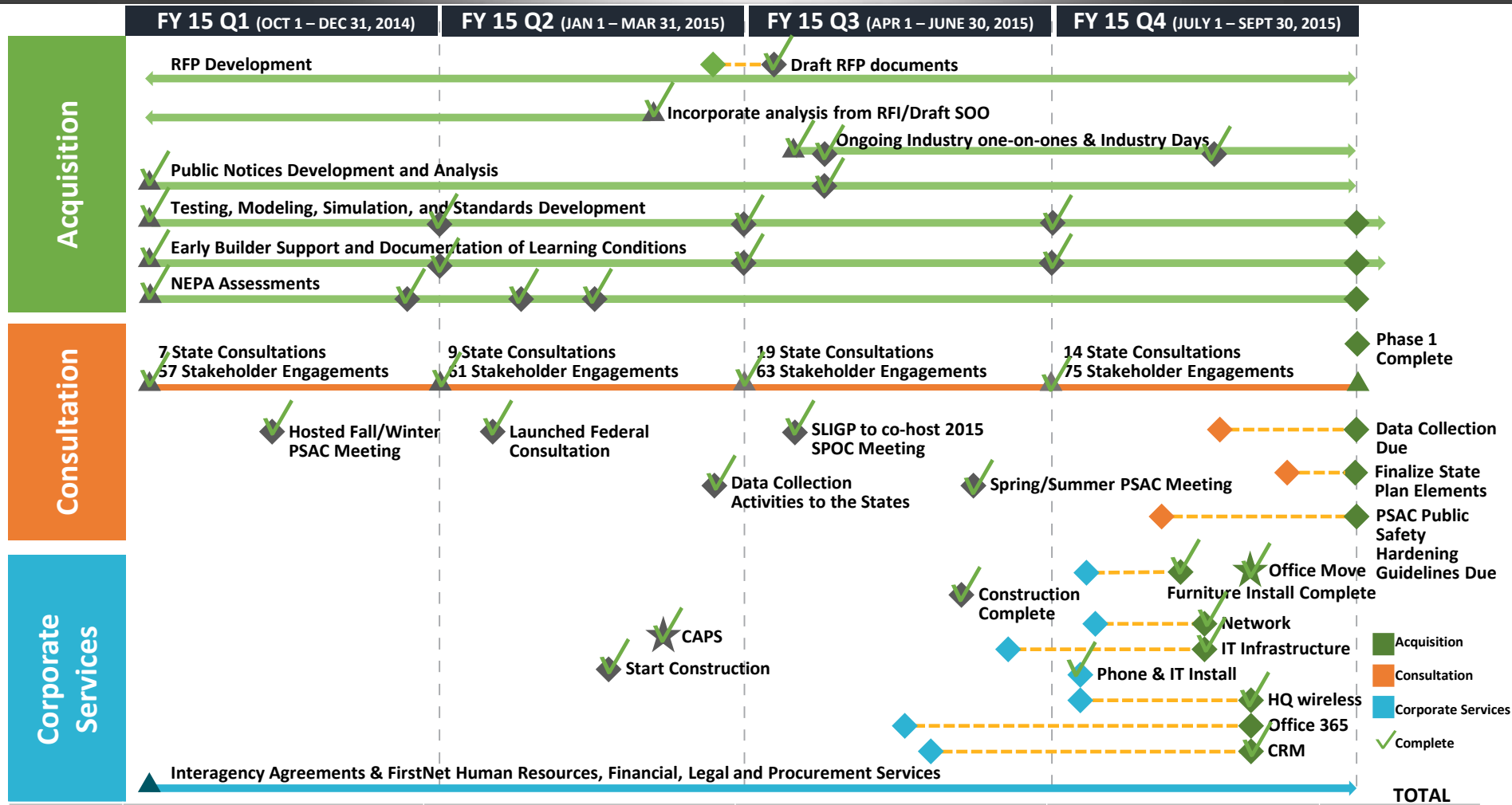
FY15 Budget Expenses



- As of August 31st, expenses are lagging (53%) compared to budget
  - Lag experienced in staffing as a result of the hiring process
  - Procurements anticipated earlier in the fiscal year were initiated later than expected
- We are at 89% of our expense forecast
- We anticipate to exhaust 56% of our total expense budget at fiscal year end

As of August 31, 2015	Acquisition Total	Consultation Total	Mission Support Total	Grand Total
Expenses				
FY 2015 YTD Expenses through August	$ 12,145,125	$ 25,249,636	$ 8,273,392	$ 45,668,153
FY 2015 Expense Forecast	$ 13,620,868	$ 28,177,446	$ 9,437,963	$ 51,236,277
FY 2015 Expense Budget	$ 21,204,889	$ 46,400,361	$ 18,784,026	$ 86,389,276

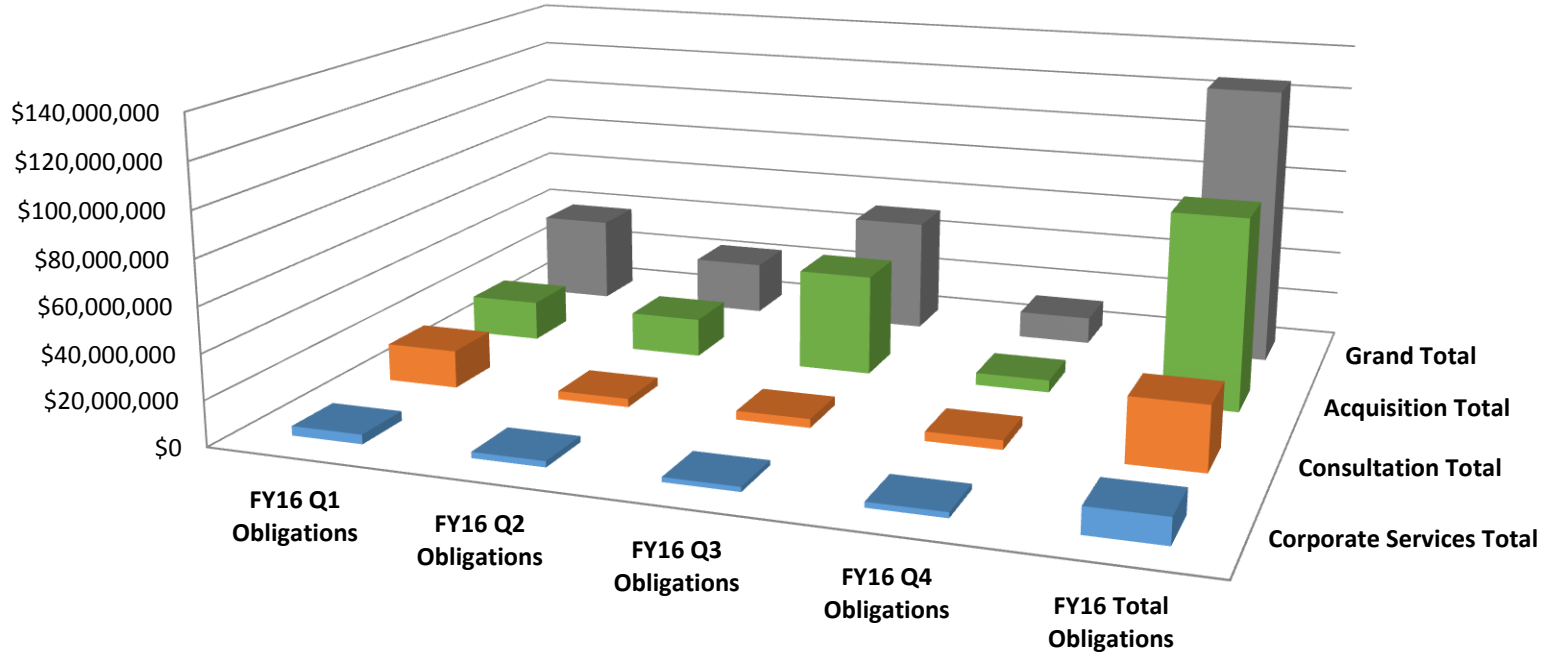
FY15 FirstNet Milestones



					TOTAL
Obligations	$ 11,870,119	$ 27,513,038	$ 25,121,455	$ 19,605,821	$ 84,110,433
Expenses	$ 9,570,597	$ 11,742,167	$ 13,870,029	$ 16,290,658	$ 51,473,452

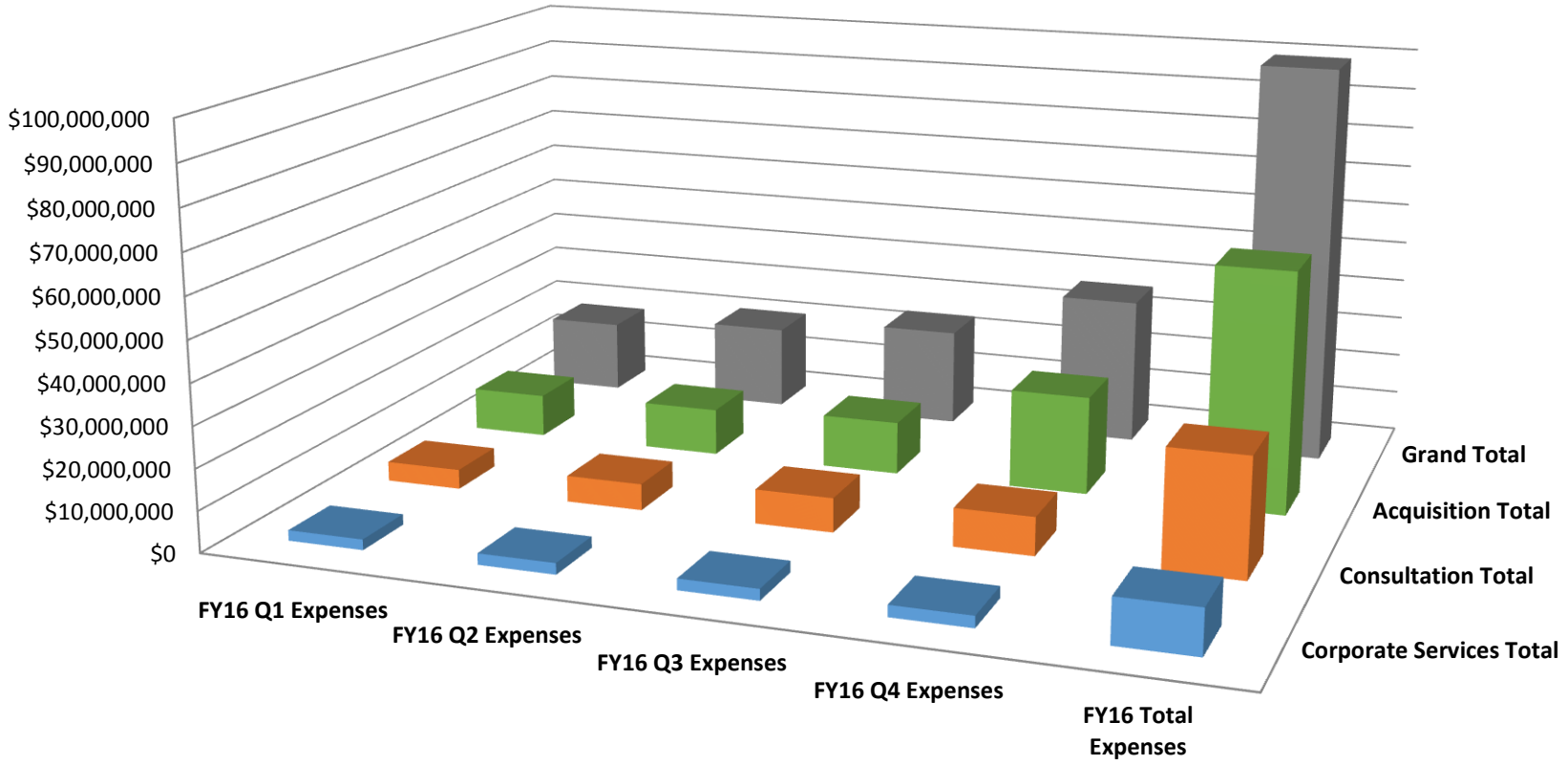
FY16 Budget Obligations

- **The Finance Committee approved the FY 2016 budget on August 7, 2015**
- **The full Board approved the FY 2016 budget on August 17, 2015**



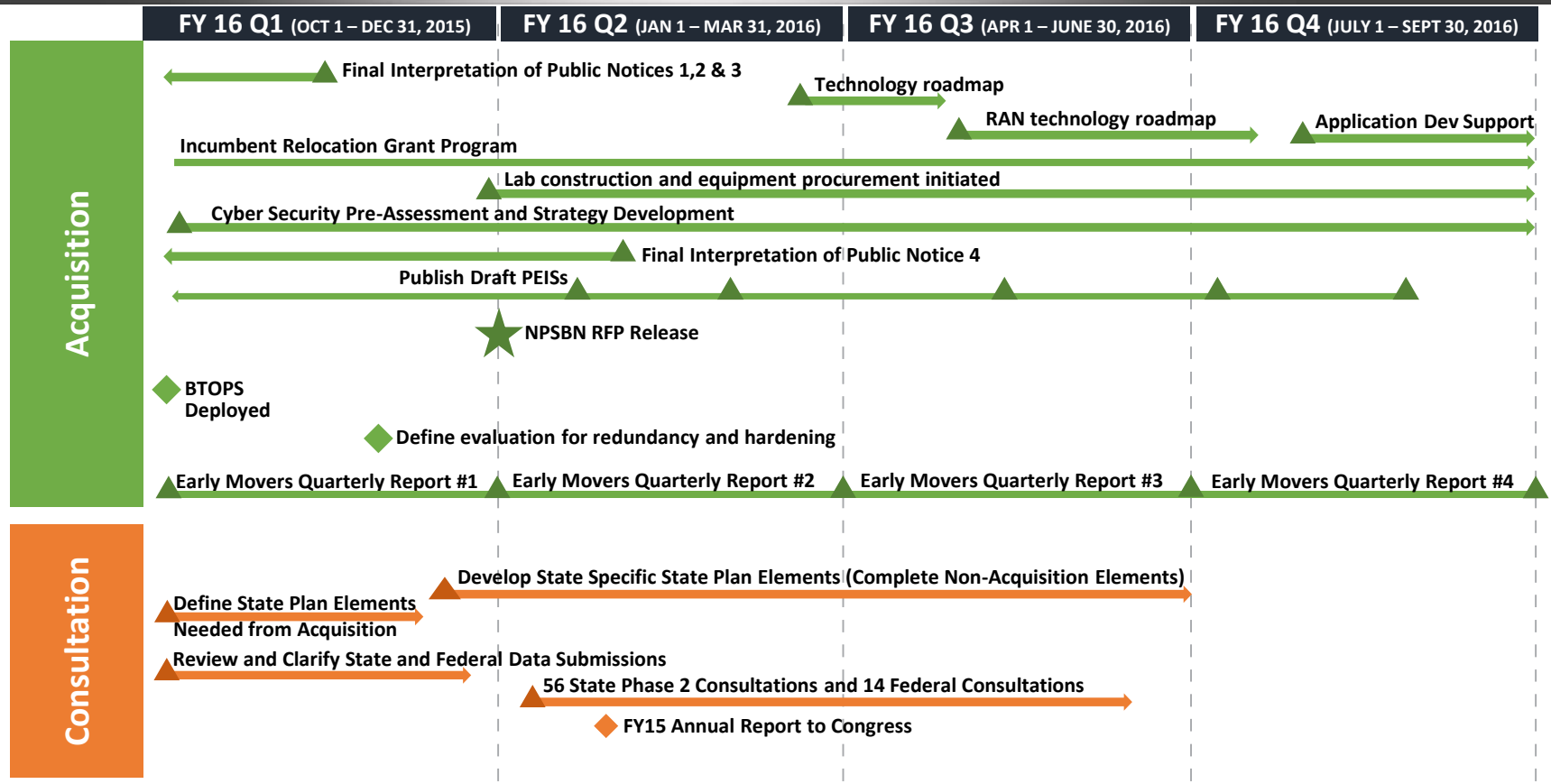
	FY16 Q1 Obligations	FY16 Q2 Obligations	FY16 Q3 Obligations	FY16 Q4 Obligations	FY16 Total Obligations
Corporate Services Total	$4,181,955	$2,643,332	$2,101,157	$2,412,001	$11,338,445
Consultation Total	$16,820,792	$3,743,396	$3,915,509	$4,178,635	$28,658,332
Acquisition Total	$17,812,382	$17,403,373	$45,238,462	$5,524,236	$85,978,453
Grand Total	$38,815,129	$23,790,101	$51,255,128	$12,114,872	$125,975,230

FY16 Budget Expenses



	FY16 Q1 Expenses	FY16 Q2 Expenses	FY16 Q3 Expenses	FY16 Q4 Expenses	FY16 Total Expenses
Corporate Services Total	$2,549,924	$2,725,350	$2,722,869	$2,807,857	$10,806,000
Consultation Total	$4,781,504	$6,441,225	$8,385,267	$9,331,651	$28,939,647
Acquisition Total	$10,556,427	$11,524,839	$13,028,186	$24,235,824	$59,345,276
Grand Total	$17,887,855	$20,691,414	$24,136,322	$36,375,332	$99,090,923

FY16 FirstNet Milestones



					TOTAL
Obligations	$38,815,129	$23,790,101	$51,255,128	$12,114,872	$125,975,230
Outlays	$17,887,855	$20,691,414	$24,136,322	$36,375,332	$ 99,090,923

FirstNet Strategic Plan

- Updating the FirstNet 5-Year Strategic Plan to extend past roadmap
  (FY 2016 – 2020)

- Establishing Key Indicators
  - Creating Performance Targets for each Goal and Objective
  - Will monitor actual performance against Key Indicators
  - Performance targets will also be incorporated into employee performance plans

- Plan to present the draft Strategic Plan at a future Board Meeting

The Committee is now in closed session